



PATENT

Case Docket No. AVERA.13DVDV1DV

Date: June 23, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Godfrey, et al.  
Appl. No. : 10/613,798  
Filed : July 2, 2003  
For : ARYLPIPERAZINES HAVING  
ACTIVITY AT THE  
SEROTONIN 1<sub>A</sub> RECEPTOR  
Examiner : Dwayne C. Jones  
Group Art Unit : 1614

I hereby certify that this correspondence and all  
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Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450, on

June 23, 2004

(Date)

Rose M. Thiessen, Reg. No. 40,202

TRANSMITTAL LETTER

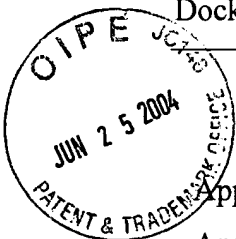
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) reference.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Rose M. Thiessen  
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**INFORMATION DISCLOSURE STATEMENT**

Applicant : Godfrey, et al.  
App. No. : 10/613,798  
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Examiner : Dwayne C. Jones  
Group Art Unit : 1614

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing one (1) reference that is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

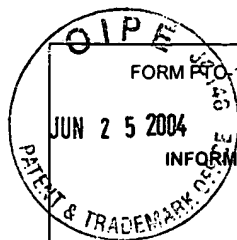
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: June 23, 2004

By: 

Rose M. Thiessen  
Registration No. 40,202  
Attorney of Record  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AVERA, :13DVDV1DVAPPLICATION NO.  
10/613,798SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Godfrey, et al.FILING DATE  
July 2, 2003GROUP  
1614

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	1	Office Action from co-pending Canadian Patent Application No. 2,315,227, dated April 19, 2004.

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062204

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	